

FP7 Post-Grant Open Access Pilot webinar
22nd November 2016

The OpenAIRE FP7 Post-Grant Open Access Pilot: Italy

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What is this FP7 Post-Grant Pilot?

'REGULAR' FUNDING MECHANISM

- The EC FP7 Post-Grant Open Access Pilot provides funding to *cover the OA publishing fees for publications arising from completed FP7 projects*
- 4 million euros have been made available by the EC to fund the OA “post-grant” publications of *over 8,000 completed FP7 projects*
- The Pilot will last for a *maximum of two years* (i.e. until Apr 30th, 2017) or until its budget is exhausted

ALTERNATIVE FUNDING MECHANISM

- Funding available for APC-free Open Access journals/platforms that have published articles resulting from FP7 projects

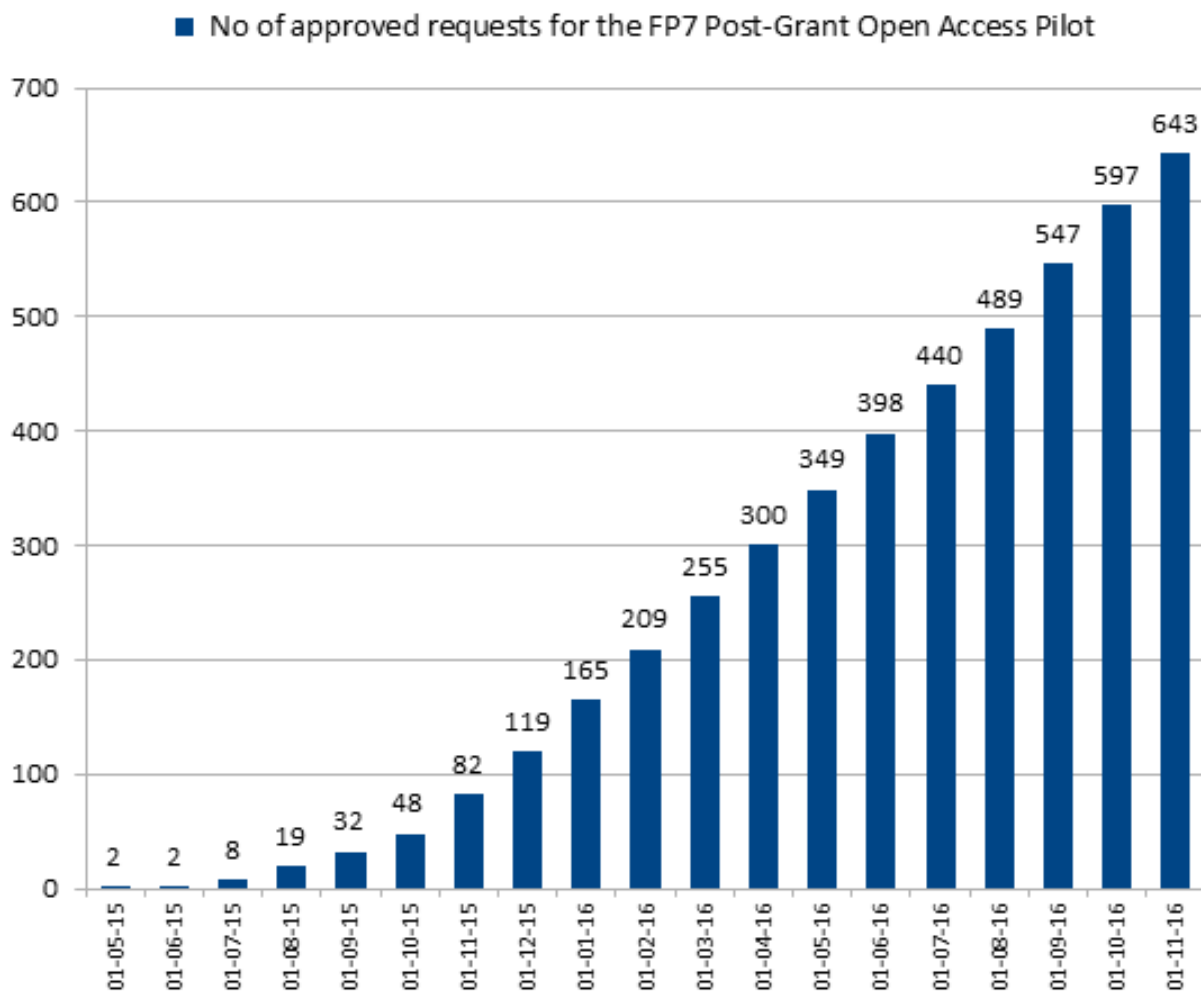
Main Policy Guidelines

- The FP7 project *should have finished* by the time the funding is requested, but *no longer than two years ago*;
- *A maximum of three publications* will be funded per eligible FP7 project as a means to ensure a fair distribution of the funding across projects;
- Publications eligible for funding *must be peer-reviewed*;
- Funding requests must be submitted *once the publication has been accepted*;
- **Publications submitted to hybrid journals will not be funded, but only those accepted at fully Open Access journals;**
- **Funding caps of €2,000 for research articles and €6,000 for monographs apply for this Pilot;**
- The final version of the funded output *must be deposited* in an OpenAIRE-compliant Open Access repository.

Full Pilot policy guidelines available at: <https://www.openaire.eu/postgrant/fp7-post-grant/pilot>

Results so far – approved requests

Figures as of Nov 21st, 2016: **682 funded publications**
639 journal articles, **32** books, **10** book chapters, **1** conf procs



The Current Open Access Landscape



OPEN ACCESS 2020

OA2020 – initiative for the large-scale transition to open access

BERLIN DECLARATION |

<http://oa2020.org/>

The Competitiveness Council meeting in Brussels this week.

EU Competitiveness Council

In dramatic statement, European leaders call for 'immediate' open access to all scientific papers by 2020

By **Martin Enserink** | May. 27, 2016 , 2:30 PM

Science, 27/05/2016, <http://www.sciencemag.org/news/2016/05/dramatic-statement-european-leaders-call-immediate-open-access-all-scientific-papers>

The Current Open Access Landscape

Concerning open access to **scientific peer-reviewed publications**, most EU Member States reported a national preference for one of the two types of open access, either the Green (self-archiving) or the Gold (open access publishing) model. Preference for the Green model is found in Belgium, Cyprus, Denmark, Estonia, Greece, Ireland, Lithuania, Malta, Norway, Portugal, Slovakia and Spain. Those expressing a preference for the Gold model are Hungary, the Netherlands, Romania, Sweden and the United Kingdom. Other Member States support both models equally, such as Germany, France, Croatia, Italy, Luxembourg, Poland and Finland. However, the expressed preferences for one of the two models are not pure models in which only one route is followed. Instead, there is generally a system of predominance of one model with the possibility of using the other model, so a mixture of both routes results.

Gold Open Access

APC-based business model

Offsetting deals

APC-free Open Access publishing

Green Open Access

Open Access repositories

Overlay services

“Access to and Preservation of Scientific Information in Europe: Report on the implementation of Commission Recommendation C(2012) 4890 final”, http://ec.europa.eu/research/openscience/pdf/openaccess/npr_report.pdf

OA Publishing Market Analysis Report

- **Survey among authors funded by the EC FP7 Post-Grant Open Access Pilot** to explore their attitudes towards the funding initiative;
- **Case studies** that address the different Open Access policy landscapes and their gradual implementation across a range of European regions, leading to an analysis of **possible roadmaps** for further Open Access implementation in these specific landscapes;
- Supporting role at the **workshop on sustainable Open Access publishing** to be organised by LIBER in April 2017.



Research Consulting Ltd wins bid for providing a Market Analysis Report on the Open Access publishing market

Updated on 14 October 2016

Survey for Funded Authors



OpenAIRE

Review of FP7 OA post-grant funding pilot

Introduction

The EC launched a pilot to fund OA publications for finalized FP7 projects through the OpenAIRE project in early 2015. The post-grant Open Access Pilot provides an additional instrument to improve access to research results from FP7 projects, but does not affect authors' choice of how their project publications are made Open Access.

2. In what capacity are you completing this survey?

Researcher

Member of institutional support staff

Other - Please specify

3. How did you learn about the open access post-grant funding initiative for FP7 projects?

Direct letter to the FP7 project coordinator from the EC (Oct 2015)

FP7 Post-Grant OA Pilot presentation or webinar

Institutional presentation or dissemination activity about the funding initiative

Results so far – requests by country

Country	Approved funding requests
Spain	104
UK	97
Germany	78
Italy	68
Netherlands	46
Switzerland	34
France	32
Greece	24
Austria	20
Sweden	19
Ireland	18
Belgium	17
Finland	15
Portugal	13
Hungary	12
Denmark	10
Israel, Turkey	8
Norway	6
Poland, Slovenia	4
Cyprus, Lithuania, Russia, South Africa	3
Australia, Czech Republic, Serbia, US	2
Bulgaria, Burkina Faso, Croatia, Luxembourg, Mexico, Slovakia	1
Estonia, Latvia, Malta, Romania (EU only)	0

Table - Distribution of approved funding requests by country as of Nov 15th, 2016

Results so far – Italy

Figures as of Nov 21st, 2016: **71 funded publications** (65 journal articles, 5 books, 1 book chapter)

RequestID	Institution	Country	Status	Date of initial application by	Acc dat
882	CNR-IRPPS	IT	PRE-PAID	15-07-2015	
83	Università degli Studi di Milano	IT	PAID	16-07-2015	
148	Università degli Studi di Milano	IT	PAID	18-09-2015	
182	Politecnico di Milano	IT	PAID	14-10-2015	
198	Humanitas Mirasole SpA	IT	PAID	15-10-2015	
224	Istituto Superiore di Sanita	IT	PAID	19-10-2015	
289	Università Ca' Foscari di Venezia	IT	PAID	03-11-2015	
295	Università di Pisa	IT	PAID	05-11-2015	
309	Università degli Studi di Milano	IT	PAID	09-11-2015	
341	Istituto Italiano di Tecnologia	IT	PAID	18-11-2015	
359	Universita degli Studi di Modena	IT	PAID	24-10-2015	
395	Università degli Studi di Milano	IT	COND APPROVED	07-12-2015	
315	Università degli Studi della Tuscia	IT	PAID	10-12-2015	
425	Università degli Studi di Milano	IT	PAID	15-12-2015	
436	Università di Bologna	IT	PAID	17-12-2015	
460	CNR-ISAC	IT	PAID	30-12-2015	
474	Università degli Studi di Milano	IT	PAID	08-01-2016	
526	Universita degli Studi di Genova	IT	PAID	26-01-2016	
546	Università degli Studi di Milano	IT	PAID	03-02-2016	

Results so far – Italy

Institution	Funded requests
CNR	13
Università degli Studi di Milano	11
Università di Bologna	5
Fondazione Istituto Italiano di Tecnologia	4
Politecnico di Milano	4
Università degli Studi di Roma "La Sapienza"	3
Università di Pisa	3
Elettra-Sincrotrone Trieste	2
Politecnico di Torino	2
Università degli Studi della Tuscia	2
Università degli Studi di Perugia	2

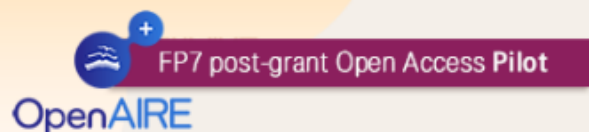
Centro Euro-Mediterraneo sui CC (CMCC)	1
Consorzio Per L'Area Di Ricerca Scientifica E Tecnologica	
Federico II University of Naples	
Fondazione Edmund Mach	
Fondazione ENI Enrico Mattei	
Humanitas Mirasole SpA	
INRCA	
IRCCS Fondazione Santa Lucia	
Istituto Europeo di Oncologia Srl	
Istituto Superiore di Sanita	
Scuola Superiore Sant'Anna di Pisa	
Università Ca' Foscari di Venezia	
Università degli Studi dell'Insubria	
Universita degli Studi di Brescia	
Universita degli Studi di Genova	
Universita degli Studi di Modena	
Università degli Studi di Palermo	
Università di Padova	
Università di Torino	
Università di Trento	

How to apply for funding

APC funding mechanism

Direct payment to publishers
upon manuscript acceptance

Reimbursement of
already paid fees



HOME MANAGE REQUESTS MONITOR STATISTICS SUPPORT CONTACT US

Requesting funds for post-grant FP7 publications?

WARNING - Once the first year of the FP7 Post-Grant Open Access Pilot has been completed and the initiative has been widely disseminated, an updated and more strict project eligibility time-window will apply. As of June 1st, 2016, finished FP7 projects will remain eligible for up to two months after the nominal 2-year window after its end-date. Once these two months are over, the project will be shown as non-eligible in the system and it will no longer be possible to submit any funding request.

Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication

If you cannot find your project in our database, do not hesitate to contact us

<https://postgrantoapilot.openaire.eu/>

Funded journals thus far



Funded journal titles by the EC FP7 Post-Grant Open Access Pilot

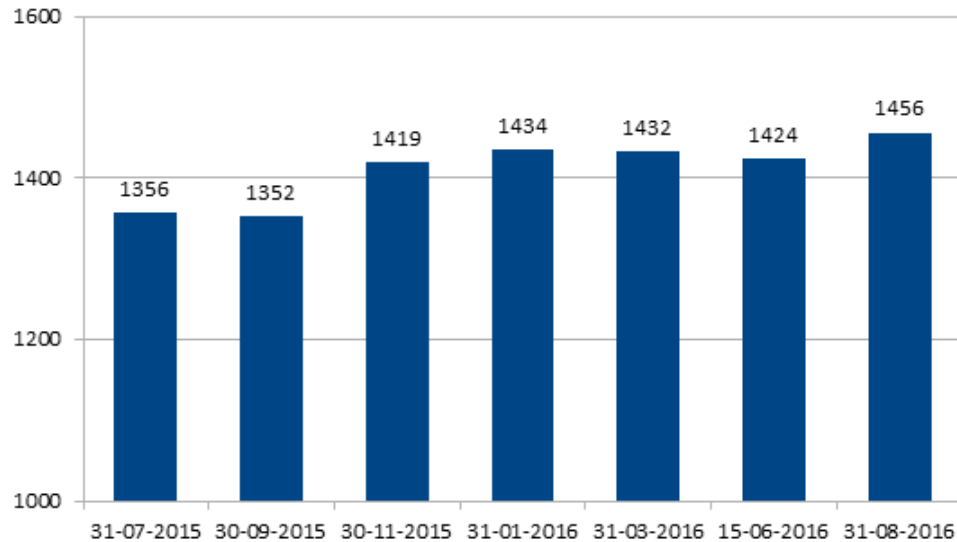
Pablo de Castro | 2015-11-25 | FP7 post-grant OA publishing funds

Aging Cell (Wiley)
Animals (MDPI)
Annales Geophysicae (Copernicus)
Atmospheric Chemistry and Physics (Copernicus)
Atmospheric Measurement Techniques Discussions, AMTD (Copernicus)
Biogeosciences Discussions (Copernicus)
BioMed Research International (Hindawi)
BioMedical Engineering OnLine (BioMed Central)
BioResearch Open Access (Mary Ann Liebert)
BMC Genomics (BioMed Central)
BMC Health Services Research (BioMed Central)
BMC Medical Informatics and Decision Making (BioMed Central)
BMC Medicine (BioMed Central)
BMC Palliative Care (BioMed Central)
BMC Plant Biology (BioMed Central)
BMC Public Health (BioMed Central)
BMC Veterinary Research (BioMed Central)
BMJ Open (BMJ)
Brain and Behaviour (Wiley)

<https://blogs.openaire.eu/?p=586>

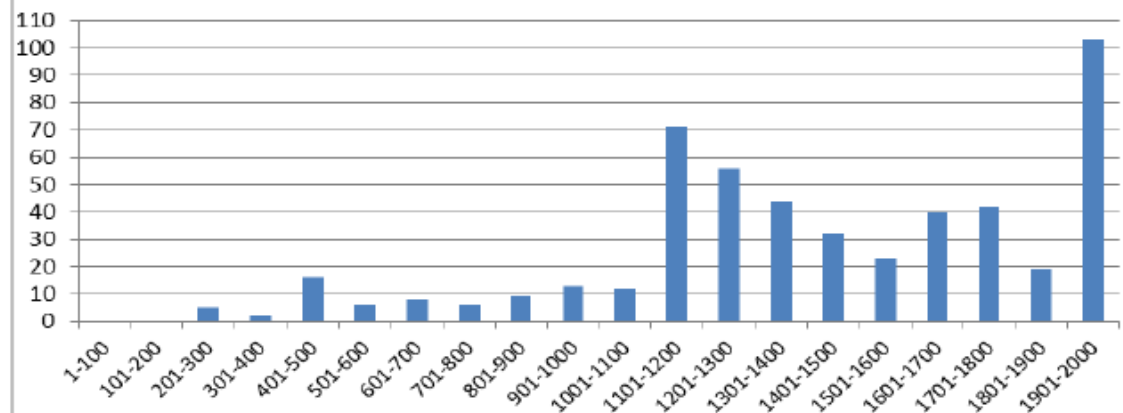
Average APC fees paid

Evolution of average APC fee (€)



- Pre-paid funding driving average APC fee up
- €2,000 funding cap for journal articles as wall

Number of APCs per price interval



Alternative funding mechanism for APC-free OA journals and platforms

- Complement to the funding of APCs/BPCs – also aiming to cover regions where APC-based Gold OA is not a mainstream business model;
- Funding for technical improvement plans proposed by bidders – provided they have published FP7 project articles;
- Areas for technical improvement suggested in the early May'2016 call



PARTICIPATE

SEARCH

MONITOR

Are you publishing your APC-free OA journal on a shoestring?

Updated on 03 May 2016



If you're publishing an APC-free Open Access journal with very little resources, you might be able to receive funding for it from OpenAIRE!

Alt-funding mechanism: funded bids



Funded bids for the Alternative Funding Mechanism for APC-free Open Access journals and platforms

Pablo de Castro | 2016-08-01 | FP7 post-grant OA publishing funds | 1 Reply

[Policy Review](#) – Alexander von Humboldt Institute for Internet and Society (Germany). €16K

[Annals of Geophysics](#) – Istituto Nazionale di Geofisica e Vulcanologia (Italy). €20K

[Hrčak](#) – University of Zagreb Computing Centre (SRCE, Croatia). €28K

[SCIndeks: The Serbian Citation Index](#) – Centre for Evaluation in Education and Science (CEON, Serbia). €17K

[Scientific Journals Online](#) – Federation of Finnish Learned Societies (Finland). €9K

[Revistas CSIC](#) – Spanish National Research Council (Spain). €35K

[Information Bulletin on Variable Stars](#) – Konkoly Observatory (Hungary). €14K

[Open Praxis](#) – International Council for Open and Distance Education (Norway/Spain). €10.4K

[EKT ePublishing](#) – National Documentation Centre/National Hellenic Research Foundation (Greece). €14.8K

[Hungarian Educational Research Journal \(HERJ\)](#) – University of Debrecen (Hungary). €15K

[International Journal of Digital Curation \(IJDC\)](#) – Digital Curation Centre (DCC, UK). €17.2K

Alt-funding mechanism: funded work

- 11 funded bids in 10 different countries;
- Funded technical improvements (a.o.):
 - OJS upgrade
 - Collection of funder info
 - ORCID implementation
 - OpenAIRE compliance
 - Production of XML files
 - Article-level info to DOAJ
- Promoting cross-project (intl) collaboration

Summary

- Funding different business models *without expressing preference for one or the other*;
- Significant difference in uptake across regions: eagerness to explore reasons why;
- Pilot initiative to test feasibility of implementing workflows for such a large-scale project geographically;
- Roadmaps for further Open Access implementation under different policy landscapes to be proposed and discussed.

Questions?



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